

06/29/04

1FW

Docket No. 7957/DISPLAY/AHRDWR/RKK

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Shinichi Kurita and Emanuel Beer  
Title: METHODS AND APPARATUS FOR POSITIONING A SUBSTRATE RELATIVE TO A SUPPORT STAGE  
Serial No.: 10/782,507  
Filed: February 19, 2004

Transmitted herewith is:

☒ PTO Form 1449 and Information Disclosure Statement with five cited references.☒ Return Postcards

## FEE CALCULATION

| Fee Items          | Claims Filed | Included With Basic Fee | Extra Claims | Fee Rate  | Total  |
|--------------------|--------------|-------------------------|--------------|-----------|--------|
| Total Claims       | N/A          | - 20 =                  | -0-          | X \$18.00 | \$0.00 |
| Independent Claims | N/A          | - 3 =                   | -0-          | X \$84.00 | \$0.00 |
| Basic Filing Fee   |              |                         |              | \$740.00  | \$0.00 |
| TOTAL FEES         |              |                         |              |           | \$0.00 |

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

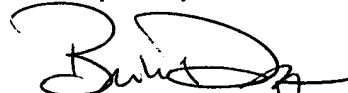
☒ Please address all future correspondence to:

Customer # 41161  
Dugan & Dugan, PC  
55 South Broadway  
Tarrytown, NY 10591

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EV403025625USDate of Deposit: 6/28/04Signature: 

Respectfully submitted,

  
Brian M. Dugan  
Registration No. 41,720  
(914) 332-9081



Express Mail Label No. EV403025625US

PATENTS  
7957/DISPLAY/AHRDWR/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Shinichi Kurita and Emanuel Beer  
Serial No. : 10/782,507  
Filed : February 19, 2004  
For : METHODS AND APPARATUS FOR POSITIONING A  
SUBSTRATE RELATIVE TO A SUPPORT STAGE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 6,327,034 B1, Hoover et al.

U.S. Patent No. 4,628,238, Smulders et al.

Foreign Art Reference No. EP 1 067 589 A2 (EPO)

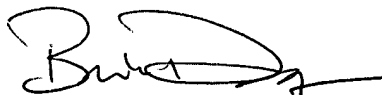
Foreign Art Reference No. JP 04149917 (Japan)

Foreign Art Reference No. JP 2001358202 (Japan)

These references are also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449).

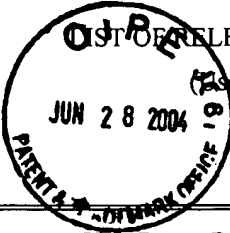
Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", with a stylized flourish extending from the end.

Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated: 6/28/04  
Tarrytown, New York

|  |  |  |  |   |  |                           |  |
|--|--|--|--|---|--|---------------------------|--|
| U.S. Department of Commerce, Patent and Trademark Office<br><br><div style="display: flex; align-items: center; justify-content: center;">  <div style="margin-left: 20px;"> <b>LIST OF RELEVANT ART CITED BY APPLICANT</b><br/>           (Use several sheets if necessary)         </div> </div> |  |  |  | Docket No.:<br>7957/DISPLAY/AHRDWR/RKK          |  | Serial No.:<br>10/782,507 |  |
|  |  |  |  | Applicants:<br>Shinichi Kurita and Emanuel Beer |  |                           |  |
|  |  |  |  | Filing Date:<br>February 19, 2004               |  | Group:<br>_____           |  |

| U.S. Patent Documents |                 |              |          |                 |          |                            |  |
|-----------------------|-----------------|--------------|----------|-----------------|----------|----------------------------|--|
| *Examiner Initial     | Document Number | Issue Date   | Name     | Class           | Subclass | Filing Date If Appropriate |  |
|                       | US-1            | 6,327,034 B1 | 12/04/01 | Hoover et al.   |          |                            |  |
|                       | US-2            | 4,628,238    | 12/09/86 | Smulders et al. |          |                            |  |
|                       | US-3            |              |          |                 |          |                            |  |
|                       | US-4            |              |          |                 |          |                            |  |
|                       | US-5            |              |          |                 |          |                            |  |
|                       | US-6            |              |          |                 |          |                            |  |
|                       | US-7            |              |          |                 |          |                            |  |
|                       | US-8            |              |          |                 |          |                            |  |
|                       | US-9            |              |          |                 |          |                            |  |
|                       | US-10           |              |          |                 |          |                            |  |
|                       | US-11           |              |          |                 |          |                            |  |

| Foreign Patent Documents |                 |                 |          |       |          |                    | Translation |  |
|--------------------------|-----------------|-----------------|----------|-------|----------|--------------------|-------------|--|
|                          | Document Number | Date            | Country  | Class | Subclass | Yes                | No          |  |
|                          | F-1             | EP 1 067 589 A2 | 01/10/01 | EPO   |          |                    |             |  |
|                          | F-2             | JP 04149917     | 05/22/92 | Japan |          | X<br>abstract only |             |  |
|                          | F-3             | JP 2001358202   | 12/26/01 | Japan |          | X<br>abstract only |             |  |
|                          | F-4             |                 |          |       |          |                    |             |  |
|                          | F-5             |                 |          |       |          |                    |             |  |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) |      |
|--|------|
|  | OT-1 |
|  | OT-2 |
|  | OT-3 |

|          |                 |
|----------|-----------------|
| Examiner | Date Considered |
|----------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.